

<b>INFORMATION DISCLOSURE CITATION LIST</b>	<b>Atty. Docket No.:</b> 3524/4	<b>Application Serial No.:</b> 09/412,408
	<b>Applicants: Kevin FOLEY et al.</b>	
	<b>Filing Date: October 5, 1999</b>	<b>Group Art Unit: 3621</b>


### U.S. PATENT DOCUMENTS

Exam. Init		Document Number	Date	Name	Class	Subclass

### FOREIGN PATENT DOCUMENTS

Exam. Init.		Document Number	Date	Country	Class	Translation

### OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

	National Association of Securities Dealers, Inc. (NASD) Conduct Rule 2320, "Best Execution and Interpositioning," dated 1 May 1968. Printed from NASDR.com 26 June 2002.
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Examiner: 	Date Considered: 7/15/02
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